



Docket No.: E3331.0111/P111  
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:  
Edward Howorka

Application No.: 09/707,824

Art Unit: 3671

Filed: November 7, 2000

Examiner: T. A. Beach

For: ANONYMOUS TRADING SYSTEM WITH  
IMPROVED QUOTE INPUT  
CAPABILITIES

AMENDMENT IN RESPONSE TO NON-FINAL OFFICE ACTION

U.S. Patent and Trademark Office  
2011 South Clark Place  
Customer Window, Mail Stop Amendment  
Crystal Plaza Two, Lobby, Room 1B03  
Arlington, VA 22202

Dear Sir:

RECEIVED  
APR 14 2004

GROUP 3600

**INTRODUCTORY COMMENTS**

In response to the Office Action dated October 22, 2003 (Paper No. 5), please amend the above-identified U.S. patent application as follows:

**Amendments to the Claims** are reflected in the listing of claims which begins on page 3 of this paper.

**Remarks/Arguments** begin on page 12 of this paper.

04/09/2004 SDENB0B1 00000030 09707824

02 FC:1202 432.00 OP  
03 FC:1201 86.00 OP

**FEE CALCULATION**

**RECEIVED**  
APR 14 2004

**GROUP 3600**

Any additional fee required has been calculated as follows:

	Claims Remaining After	Highest Number Previously	Number Extra Claims	Rate	Additional Fee
Total	52	- 28* =	24	X 18.00	432.00
Independent	4	- 3* =	1	X 86.00	86.00
First presentation of Multiple Dependent Claim(s) (if applicable)					
					<b>TOTAL</b> 518.00

\*not less than 20

\*\* not less than 3

In the event an additional fee is required or if any fee during the prosecution of this application is not paid, the Patent Office is authorized to charge any underpayment or credit any overpayment to Deposit Account No. 50-2215.

**CONTINGENT EXTENSION REQUEST**

If this communication is filed after the shortened statutory time period had elapsed and no separate Petition is enclosed, the Commissioner of Patents and Trademarks is petitioned, under 37 CFR 1.136(a), to extend the time for filing a response to the outstanding Office Action by the number of months which will avoid abandonment under 37 CFR 1.135. The fee under 37 CFR 1.17 should be charged to our Deposit Account No. 50-2215.